One Star Export House
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A / 8568

UNI Abex
Uni Abex Alloy Products Limited

1st April, 2019
UAL/FDN/4

Mr. H. R. Prasad
6E Navroze Apartments,
B.Desai Road,
Mumbai - 400 026.

Sub.: Appointment as Non-Executive Independent Director under the Companies Act, 2013

Dear Sir,

We are pleased to inform you that the shareholders of the Company have passed a special resolution by way of postal ballot dated 26th March, 2019 and have reappointment as an Independent Director of the Company. Your appointment will be pursuant to the provisions of Sections 149, 152, Schedule IV and other applicable provisions, if any, of the Companies Act, 2013 read with the relevant Rules made thereunder, Regulation 17 and 17 (1A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, from time to time and any other applicable laws (including any statutory amendment(s), modification(s), variation(s) or re-enactment(s)

This letter is being issued pursuant to the provisions of Schedule IV to the Companies Act, 2013 which requires appointment of independent directors to be formalized through a letter. Please note that this is neither a contract for service nor is a contract of employment.

Appointment

Your appointment for the time being will be from 1st April, 2019 up to 31st March, 2024, keeping in view the retirement policy for non-executive Independent Directors as provided in the Companies Act, 2013 and rules made thereunder. This tenure is subject to your continuing to meet the criteria for being an Independent Director and not being disqualified from acting as a Director under the Companies Act, 2013 and the rules prescribed thereunder, the Listing Agreement and other applicable laws.

Role on the Board

You are also expected to exercise independent and objective judgment on the key issues before the Board and such committees of which you are a member. You should strive to safeguard the interests of all the stakeholders including minority shareholders and balance and moderate the conflicting interests of the stakeholders, including the management and shareholders, of the Company.

You are also expected to provide your expertise and experience inter alia in the field of finance and corporate governance, in the functioning of the Board and the committees of the Board to which you may be nominated.

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In addition to routine Board meetings you should allow time for Committee meetings, preparatory work and ensure that you are in a position to make the necessary overall time commitment.

You may be nominated on one or more committees of the Board and in such event you will be provided with the relevant Committee's terms of reference and any specific responsibilities. You are currently nominated on the following committee of the Board whose terms of reference have been provided to you:

Duties and liabilities

The duties and liabilities that come with your appointment would be as per applicable laws, the Company's policies and the Articles of Association of the Company.

The laws that currently govern the duties and liabilities of an Independent Director are the Companies Act, 2013 (including the rules prescribed thereunder) and the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, some of which are specifically outlined below.

You will follow "the Code for Independent Director" as per Schedule IV of the Companies Act, 2013 and the Company's Code of Conduct of Directors.

For your information, Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 dealing with corporate governance framework would be applicable with effect from 19th November, 2014.

You are required to make disclosure of your interest as per the requirements of Section 184 of the Companies Act, 2013 and not participate in the meeting of the Board where any contract or arrangement in which you are interested is discussed.

In case you so desire, Company would provide support to help you familiarize yourself with the relevant duties and obligations.

Additionally, you will strive to attend a maximum number the Board meetings, Committee meetings and General meetings of the Company, if not all, and constructively and actively participate in these meetings. You will keep yourself informed about the Company, its business and operating environment.

Technology

You may give your consent by advance notification to the Chairman or Company Secretary to participate in any meeting(s) of the Board or Committee of Directors, when necessary through video conferencing or other audio visual means, except for matters not to be so dealt under the Companies Act, 2013.

When necessary, you can also participate through telephone, electronic mail or any other technology which permits you to communicate with every other Director, though such participation would not be reckoned as attending the meeting under the Companies Act, 2013.

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MUMBAI

Independence

The Board of Directors of the Company inter alia has given due consideration to your declaration of being qualified as 'independent' in accordance with the provisions of Companies Act, 2013 and the Listing Agreement with the Stock Exchange. You are expected to continue to be qualified as 'independent' during your tenure and provide periodic declaration to the effect as required by regulations. You will be identified as 'Independent Director' in the annual report and other documents and publications of the Company. If circumstances change and you believe it may not be possible for you to retain your independence you should discuss this with the Chairman as soon as practicable.

Evaluation Processes

Your performance evaluation shall be done by the Board of Directors annually, without your participation. You will participate in reviewing the performance of non-Independent Directors and the Board as a whole, performance of the Chairman and other Independent Directors.

Code of Business Conduct

You will follow the Company's Code of Conduct and furnish an annual affirmation of the same.

You will apply the highest standards of confidentiality, and not disclose to any person or company (whether during the course of the tenure as Independent Director or at any time after its cessation), any confidential information concerning the Company and any Group Companies with which you come into contact by virtue of your position as a Director, except as required or permitted by law or with prior clearance from the Chairman.

Prohibition on Insider Trading

You will follow the Company's Policy on Insider Information and the requirements under the Companies Act, 2013 and SEBI Regulations, which internal a requires that price-sensitive information is not used or transmitted and maintained securely. You should not make any statements that might risk a breach of these requirements without prior clearance from the Chairman. You shall not trade in shares of the Company when the trading window is closed.

Sitting Fees/Commission

You will be entitled to fee for attending the meetings of the Board or Committee thereof either personally or through Video Conference or other audio visual means or for any other purpose whatsoever as may be decided by the Board of Directors. You will entitled to commission as per the Group's policy and as may be approved by the Board of Directors and Members of the Company. The sitting fees and commission payable shall be subject to applicable tax deduction at source.

Don't's

The following is a list of actions that you shall not undertake while an independent director of the Company:

- Do not participate in the day to day functioning of the Company.
- Do not display the logo / distinctive design of the Company on any personal visiting cards or letterheads.

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MUMBAI

- Do not do anything which may interfere with the maintenance of discipline, good conduct and integrity of the staff.

General

All the terms as mentioned above including your appointment i.e. remuneration, professional conduct, role and functions, duties and evaluation shall be governed by the Companies Act. 2013 and rules prescribed thereunder and Corporate Governance requirements under the Listing Agreement, as amended from time to time.

This Letter and any non-contractual obligations arising out of or in connection with this Letter are governed by, and shall be construed in accordance with the laws of India and subject to the exclusive jurisdiction of the Courts of India.

Yours sincerely,
For **Uni Abex Alloy Products Limited**

F. D. Neterwala
Chairman

UNI ABEX ALLOY PRODUCTS LTD.
MUMBAI

1st April, 2019
UAL/FDN/5

Mr. F. K. Banatwalla
603, Neel Sagar, TPS III,
28th Road, Bandra (West),
Mumbai- 400 050.

Sub.: Appointment as Non-Executive Independent Director under the Companies Act, 2013

Dear Sir,

We are pleased to inform you that the shareholders of the Company have passed a special resolution by way of postal ballot dated 26th March, 2019 and have reappointment as an Independent Director of the Company. Your appointment will be pursuant to the provisions of Sections 149, 152, Schedule IV and other applicable provisions, if any, of the Companies Act, 2013 read with the relevant Rules made thereunder, Regulation 17 and 17 (1A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, from time to time and any other applicable laws (including any statutory amendment(s), modification(s), variation(s) or re-enactment(s)

This letter is being issued pursuant to the provisions of Schedule IV to the Companies Act, 2013 which requires appointment of independent directors to be formalized through a letter. Please note that this is neither a contract for service nor is a contract of employment.

Appointment

Your appointment for the time being will be from 1st April, 2019 up to 31st March, 2024, keeping in view the retirement policy for non-executive Independent Directors as provided in the Companies Act, 2013 and rules made thereunder. This tenure is subject to your continuing to meet the criteria for being an Independent Director and not being disqualified from acting as a Director under the Companies Act, 2013 and the rules prescribed thereunder, the Listing Agreement and other applicable laws.

Role on the Board

You are also expected to exercise independent and objective judgment on the key issues before the Board and such committees of which you are a member. You should strive to safeguard the interests of all the stakeholders including minority shareholders and balance and moderate the conflicting interests of the stakeholders, including the management and shareholders, of the Company.

You are also expected to provide your expertise and experience inter alia in the field of finance and corporate governance, in the functioning of the Board and the committees of the Board to which you may be nominated.

ALLOY PRODUCTS LTD. MUMBAI

In addition to routine Board meetings you should allow time for Committee meetings, preparatory work and ensure that you are in a position to make the necessary overall time commitment.

You may be nominated on one or more committees of the Board and in such event you will be provided with the relevant Committee's terms of reference and any specific responsibilities. You are currently nominated on the following committee of the Board whose terms of reference have been provided to you:

Duties and liabilities

The duties and liabilities that come with your appointment would be as per applicable laws, the Company's policies and the Articles of Association of the Company.

The laws that currently govern the duties and liabilities of an Independent Director are the Companies Act, 2013 (including the rules prescribed thereunder) and the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, some of which are specifically outlined below.

You will follow "the Code for Independent Director" as per Schedule IV of the Companies Act, 2013 and the Company's Code of Conduct of Directors.

For your information, Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 dealing with corporate governance framework would be applicable with effect from 19th November, 2014.

You are required to make disclosure of your interest as per the requirements of Section 184 of the Companies Act, 2013 and not participate in the meeting of the Board where any contract or arrangement in which you are interested is discussed.

In case you so desire, Company would provide support to help you familiarize yourself with the relevant duties and obligations.

Additionally, you will strive to attend a maximum number the Board meetings, Committee meetings and General meetings of the Company, if not all, and constructively and actively participate in these meetings. You will keep yourself informed about the Company, its business and operating environment.

Technology

You may give your consent by advance notification to the Chairman or Company Secretary to participate in any meeting(s) of the Board or Committee of Directors, when necessary through video conferencing or other audio visual means, except for matters not to be so dealt under the Companies Act, 2013.

When necessary, you can also participate through telephone, electronic mail or any other technology which permits you to communicate with every other Director, though such participation would not be reckoned as attending the meeting under the Companies Act, 2013.

UNI ABEX ALLOY PRODUCTS LTD. MUMBAI

Independence

The Board of Directors of the Company inter alia has given due consideration to your declaration of being qualified as 'independent' in accordance with the provisions of Companies Act, 2013 and the Listing Agreement with the Stock Exchange. You are expected to continue to be qualified as 'independent' during your tenure and provide periodic declaration to the effect as required by regulations. You will be identified as 'Independent Director' in the annual report and other documents and publications of the Company. If circumstances change and you believe it may not be possible for you to retain your independence you should discuss this with the Chairman as soon as practicable.

Evaluation Processes

Your performance evaluation shall be done by the Board of Directors annually, without your participation. You will participate in reviewing the performance of non-Independent Directors and the Board as a whole, performance of the Chairman and other Independent Directors.

Code of Business Conduct

You will follow the Company's Code of Conduct and furnish an annual affirmation of the same.

You will apply the highest standards of confidentiality, and not disclose to any person or company (whether during the course of the tenure as Independent Director or at any time after its cessation), any confidential information concerning the Company and any Group Companies with which you come into contact by virtue of your position as a Director, except as required or permitted by law or with prior clearance from the Chairman.

Prohibition on Insider Trading

You will follow the Company's Policy on Insider Information and the requirements under the Companies Act, 2013 and SEBI Regulations, which internal a requires that price-sensitive information is not used or transmitted and maintained securely. You should not make any statements that might risk a breach of these requirements without prior clearance from the Chairman. You shall not trade in shares of the Company when the trading window is closed.

Sitting Fees/Commission

You will be entitled to fee for attending the meetings of the Board or Committee thereof either personally or through Video Conference or other audio visual means or for any other purpose whatsoever as may be decided by the Board of Directors. You will entitled to commission as per the Group's policy and as may be approved by the Board of Directors and Members of the Company. The sitting fees and commission payable shall be subject to applicable tax deduction at source.

Don't's

The following is a list of actions that you shall not undertake while an independent director of the Company:

- Do not participate in the day to day functioning of the Company.

- Do not display the logo / distinctive design of the Company on any personal visiting cards or letterheads.

UNI ABEX ALLOY PRODUCTS LTD. MUMBAI

- Do not do anything which may interfere with the maintenance of discipline, good conduct and integrity of the staff.

General

All the terms as mentioned above including your appointment i.e. remuneration, professional conduct, role and functions, duties and evaluation shall be governed by the Companies Act. 2013 and rules prescribed thereunder and Corporate Governance requirements under the Listing Agreement, as amended from time to time.

This Letter and any non-contractual obligations arising out of or in connection with this Letter are governed by, and shall be construed in accordance with the laws of India and subject to the exclusive jurisdiction of the Courts of India.

Yours sincerely,
For Uni Abex Alloy Products Limited

F. D. Neterwala
Chairman

UNI ABEX ALLOY PRODUCTS LTD. MUMBAI

UNI Abex

Uni Abex Alloy Products Limited
H.O. & Regd. Office: Liberty Building, Sir Vithaldas Thackersey Marg, Mumbai - 400020. Tel.. +91-22-22032797 / 22-22091021
Fax: +91-22-22082113 | E-mail: companysecretary@uniabex.com
Web: uniabex.com

5th August, 2017
UAL/FDN/156

Mr. Jimmy Parakh
Sterling Tower flat No. 502
Harishchandra Goregaonkar Marg,
Gamdevi Mumbai 400007

Sub.: Appointment as Non-Executive Independent Director under the Companies Act, 2013

Dear Sir,

We are pleased to inform you that the shareholders of the Company at the 44th Annual General Meeting held on 4th August, 2017 have passed the resolution for your appointment as an Independent Director of the Company. Your appointment will be subject to the provisions of Section 149, 161, 177, Schedule IV and other applicable provisions of the Companiés Act, 2013 and the rules prescribed thereunder and SEBI (Listing Obligation & Disclosure Regulation), 2015 (entered in to by the Company with BSE Ltd) and any other applicable regulations, from time to time.

This letter is being issued pursuant to the provisions of Schedule IV to the Companies Act, 2013 which requires appointment of independent directors to be formalized through a letter. Please note that this is neither a contract for service nor is a contract of employment.

Appointment

Your appointment for the time being is up to 31st March, 2022, keeping in view the retirement policy for non-executive Independent Directors as provided in the Companies Act, 2013 and rules made thereunder. This tenure is subject to your continuing to meet the criteria for being an Independent Director and not being disqualified from acting as a Director under the Companies Act, 2013 and the rules prescribed thereunder, the SEBI (Listing Obligation & Disclosure Regulation), 2015 and other applicable laws.

Role on the Board

You are also expected to exercise independent and objective judgment on the key issues before the Board and such committees of which you are a member. You should strive to safeguard the interests of all the stakeholders including minority shareholders and balance and moderate the conflicting interests of the stakeholders, including the management and shareholders, of the Company.

You are also expected to provide your expertise and experience inter alia in the field of finance and corporate governance, in the functioning of the Board and the committees of the Board to which you may be nominated.

In addition to routine Board meetings you should allow time for Committee meetings, preparatory work and ensure that you are in a position to make the necessary overall time commitment.

You may be nominated on one or more committees of the Board and in such event you will be provided with the relevant Committee's terms of reference and any specific responsibilities. You are currently nominated on the following committee of the Board whose terms of reference have been provided to you:

Duties and liabilities

The duties and liabilities that come with your appointment would be as per applicable laws, the Company's policies and the Articles of Association of the Company.

The laws that currently govern the duties and liabilities of an Independent Director are the Companies Act, 2013 (including the rules prescribed thereunder) and the SEBI (Listing Obligation & Disclosure Regulation), 2015 with the Stock Exchange, some of which are specifically outlined below.

You will follow "the Code for Independent Director" as per Schedule IV of the Companies Act, 2013 and the Company's Code of Conduct of Directors.

For your information, SEBI (Listing Obligation & Disclosure Regulation), 2015 dealing with corporate governance framework would be applicable.

You are required to make disclosure of your interest as per the requirements of Section 184 of the Companies Act, 2013 and not participate in the meeting of the Board where any contract or arrangement in which you are interested is discussed.

In case you so desire, Company would provide support to help you familiarize yourself with the relevant duties and obligations.

Additionally, you will strive to attend a maximum number the Board meetings, Committee meetings and General meetings of the Company, if not all, and constructively and actively participate in these meetings. You will keep yourself informed about the Company, its business and operating environment.

Technology

You may give your consent by advance notification to the Chairman or Company Secretary to participate in any meeting(s) of the Board or Committee of Directors, when necessary through video conferencing or other audio visual means, except for matters not to be so dealt under the Companies Act, 2013.

When necessary, you can also participate through telephone, electronic mail or any other technology which permits you to communicate with every other Director, though such participation would not be reckoned as attending the meeting under the Companies Act, 2013.

UNI ABEX ALLOY PRODUCTS LTD.
BOMBAY

Independence

The Board of Directors of the Company inter alia has given due consideration to your declaration of being qualified as 'independent' in accordance with the provisions of Companies Act, 2013 and the SEBI (Listing Obligation & Disclosure Regulation), 2015 with the Stock Exchange. You are expected to continue to be qualified as 'independent' during your tenure and provide periodic declaration to the effect as required by regulations. You will be identified as 'Independent Director' in the annual report and other documents and publications of the Company. If circumstances change and you believe it may not be possible for you to retain your independence you should discuss this with the Chairman as soon as practicable.

Evaluation Processes

Your performance evaluation shall be done by the Board of Directors annually, without your participation. You will participate in reviewing the performance of non-Independent Directors and the Board as a whole, performance of the Chairman and other Independent Directors.

Code of Business Conduct

You will follow the Company's Code of Conduct and furnish an annual affirmation of the same.

You will apply the highest standards of confidentiality, and not disclose to any person or company (whether during the course of the tenure as Independent Director or at any time after its cessation), any confidential information concerning the Company and any Group Companies with which you come into contact by virtue of your position as a Director, except as required or permitted by law or with prior clearance from the Chairman.

Prohibition on Insider Trading

You will follow the Company's Policy on Insider Information and the requirements under the Companies Act, 2013 and SEBI Regulations, which internal a requires that price-sensitive information is not used or transmitted and maintained securely. You should not make any statements that might risk a breach of these requirements without prior clearance from the Chairman. You shall not trade in shares of the Company when the trading window is closed.

Sitting Fees/Commission

You will be entitled to fee for attending the meetings of the Board or Committee thereof either personally or through Video Conference or other audio visual means or for any other purpose whatsoever as may be decided by the Board of Directors. You will entitled to commission as per the Group's policy and as may be approved by the Board of Directors and Members of the Company. The sitting fees and commission payable shall be subject to applicable tax deduction at source.

UNI ABEX ALLOY PRODUCTS LTD.
BOMBAY

Don't's

The following is a list of actions that you shall not undertake while an independent director of the Company:

- Do not participate in the day to day functioning of the Company.

- Do not display the logo / distinctive design of the Company on any personal visiting cards or letterheads.

- Do not do anything which may interfere with the maintenance of discipline, good conduct and integrity of the staff.

General

All the terms as mentioned above including your appointment i.e. remuneration, professional conduct, role and functions, duties and evaluation shall be governed by the Companies Act. 2013 and rules prescribed thereunder and Corporate Governance requirements under the SEBI (Listing Obligation & Disclosure Regulation), 2015 as amended from time to time.

This Letter and any non-contractual obligations arising out of or in connection with this Letter are governed by, and shall be construed in accordance with the laws of India and subject to the exclusive jurisdiction of the Courts of India.

Yours sincerely,
For **Uni Abex Alloy Products Limited**

F. D. Neterwala
Chairman
DIN: 00008332
FLAT 13-A SUNEETA APARTMENTS
9-11 RIDGE ROAD,
MALABAR HILL MUMBAI 400006

UNI ABEX ALLOY PRODUCTS LTD
BOMBAY

9th August, 2019
UAL/FDN/209

Mr. M. K. Fondekar
B-505 Silver Arch,
Off. 1st Pokhran Road,
Cadbury Factory Thane,
Thane, 400606.

Sub.: Appointment as Non-Executive Independent Director under the Companies Act, 2013

Dear Sir,

We are pleased to inform you that the shareholders of the Company at the 46th Annual General Meeting held on 8th August, 2019 have passed the resolution for your appointment as an Independent Director of the Company. Your appointment will be pursuant to the provisions of Sections 149, 152, Schedule IV and other applicable provisions, if any, of the Companies Act, 2013 read with the relevant Rules made thereunder, Regulation 17 and 17 (1A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, from time to time and any other applicable laws (including any statutory amendment(s), modification(s), variation(s) or re-enactment(s)

This letter is being issued pursuant to the provisions of Schedule IV to the Companies Act, 2013 which requires appointment of independent directors to be formalized through a letter. Please note that this is neither a contract for service nor is a contract of employment.

Appointment

Your appointment for the time being will be from 8th August, 2019 up to 7th August, 2024, keeping in view the retirement policy for non-executive Independent Directors as provided in the Companies Act, 2013 and rules made thereunder. This tenure is subject to your continuing to meet the criteria for being an Independent Director and not being disqualified from acting as a Director under the Companies Act, 2013 and the rules prescribed thereunder, the Listing Agreement and other applicable laws.

Role on the Board

You are also expected to exercise independent and objective judgment on the key issues before the Board and such committees which you may be appointed. You should strive to safeguard the interests of all the stakeholders including minority shareholders and balance and moderate the conflicting interests of the stakeholders, including the management and shareholders, of the Company.

You are also expected to provide your expertise and experience inter alia in the field of finance and corporate governance, in the functioning of the Board and the committees of the Board to which you may be nominated.

In addition to routine Board meetings you should allow time for Committee meetings, preparatory work and ensure that you are in a position to make the necessary overall time commitment.

You may be nominated on one or more committees of the Board and in such event you will be provided with the relevant Committee's terms of reference and any specific responsibilities.

UNI ABEX ALLOY PRODUCTS LTD. MUMBAI

Duties and liabilities

The duties and liabilities that come with your appointment would be as per applicable laws, the Company's policies and the Articles of Association of the Company.

The laws that currently govern the duties and liabilities of an Independent Director are the Companies Act, 2013 (including the rules prescribed thereunder) and the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, and any amendment from made from time to time as may be applicable; some of which are specifically outlined below.

You will follow "the Code for Independent Director" as per Schedule IV of the Companies Act, 2013 and the Company's Code of Conduct of Directors.

For your information, Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 dealing with corporate governance framework would be applicable with effect from 19^{th} November, 2014.

You are required to make disclosure of your interest as per the requirements of Section 184 of the Companies Act, 2013 and not participate in the meeting of the Board where any contract or arrangement in which you are interested is discussed.

In case you so desire, Company would provide support to help you familiarize yourself with the relevant duties and obligations.

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Independence

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Evaluation Processes

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UNI ABEX ALLOY PRODUCTS LTD. MUMBAI

Code of Business Conduct

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This Letter and any non-contractual obligations arising out of or in connection with this Letter are governed by, and shall be construed in accordance with the laws of India and subject to the exclusive jurisdiction of the Courts of India.

Yours sincerely,
For **Uni Abex Alloy Products Limited**

F. D. Neterwala
Chairman

UNI ABEX ALLOY PRODUCTS LTD. MUMBAI