FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]

सत्यमेव जयते

Annual Return

(other than OPCs and Small Companies)

Form language (●) English ( ) Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company: L27100MH1972PLC015950 [Pre-fill]

Global Location Number (GLN) of the company:

* Permanent Account Number (PAN) of the company: AAACU1444R

(ii) (a) Name of the company: UNI-ABEX ALLOY PRODUCTS L

(b) Registered office address

LIBERTY BUILDINGSIR VITHALDAS THACKERSEY MARG
MUMBAI
Maharashtra
400020
India

(c) *e-mail ID of the company: companysecretary@uniabex.c

(d) *Telephone number with STD code: 02222032797

(e) Website: www.uniabex.com

(iii) Date of Incorporation: 17/08/1972

(iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital (●) Yes ( ) No

(vi) *Whether shares listed on recognized Stock Exchange(s) (●) Yes ( ) No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1

(b) CIN of the Registrar and Transfer Agent U67120MH1995PLC095302 Pre-fill

Name of the Registrar and Transfer Agent

COMPUTECH SHARECAP LIMITED

Registered office address of the Registrar and Transfer Agents

147 MAHATMA GANDHI ROAD,
FORT

(vii) *Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ◉ Yes ○ No

(a) If yes, date of AGM

(b) Due date of AGM 30/09/2022

(c) Whether any extension for AGM granted ○ Yes ◉ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C7	Metal and metal products	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 0 Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	3,000,000	1,975,000	1,975,000	1,975,000
Total amount of equity shares (in Rupees)	30,000,000	19,750,000	19,750,000	19,750,000

Number of classes: 1

Class of Shares EQUITY	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	3,000,000	1,975,000	1,975,000	1,975,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	30,000,000	19,750,000	19,750,000	19,750,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	2,000,000	0	0	0
Total amount of preference shares (in rupees)	20,000,000	0	0	0

Number of classes: 1

Class of shares PREFERENCE SHARES	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	2,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	20,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	116,795	1,858,205	1975000	19,750,000	19,750,00(	

Increase during the year	0	2,962	2962	0	0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify Demat of Shares	0	2,962	2962	0	0	
Decrease during the year	2,962	0	2962	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify Demat of Shares	2,962	0	2962	0	0	
At the end of the year	113,833	1,861,167	1975000	19,750,000	19,750,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares) 0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media] ○ Yes ◉ No ○ Not Applicable

Separate sheet attached for details of transfers ◉ Yes ○ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	

Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) ***Debentures (Outstanding as at the end of financial year)**

Particulars	**Number of units**	**Nominal value per unit**	**Total value**
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures) 0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover 1,366,735,000

(ii) Net worth of the Company 658,711,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	7,527	0.38	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,249,050	63.24	0	
10.	Others	0	0	0	
	Total	1,256,577	63.62	0	0

Total number of shareholders (promoters) 4

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	**Percentage**	**Number of shares**	**Percentage**
1.	Individual/Hindu Undivided Family				
	(i) Indian	615,269	31.15	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	506	0.03	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	102,648	5.2	0	
10.	Others	0	0	0	
	Total	718,423	36.38	0	0

Total number of shareholders (other than promoters) 5,379

Total number of shareholders (Promoters+Public/ Other than promoters) 5,383

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	4	4
Members (other than promoters)	4,704	5,379
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. **Promoter**	0	4	0	4	0	0.38
B. Non-Promoter	1	5	0	6	0	0.02
(i) Non-Independent	0	0	0	1	0	0
(ii) Independent	1	5	0	5	0	0.02
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	1	9	0	10	0	0.4

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
F.D. NETERWALA	00008332	Director	4,972	
R.B. MEHTA	00057570	Director	2,600	
H.R.PRASAD	00133853	Director		
P.F.NETERWALA	01083117	Director		
M.K.FONDEKAR	01089689	Director		
A.F.NETERWALA	01418744	Director		
F.K.BANATWALLA	02670802	Director		
JIMMY PARAKH	00004945	Director	405	
K.K.TAMHANEY	08936234	Director		
MANMOHAN KRISHAN	00290208	Director		
J.D.DIVEKAR	AAEPD4251G	CFO		
BHAUTESH ASHWIN S	BFZPS7833E	Company Secretar		
ACHINTYA CHANDRA	AFTPC3994B	Manager		

(ii) Particulars of change in director(s) and Key managerial personnel during the year 2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
K.K.TAMHANEY	08936234	Director	31/05/2021	Change in Designation
ACHINTYA CHANDRA	AFTPC3994B	Manager	11/11/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held: 1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	21/09/2021	5,308	45	64.84

B. BOARD MEETINGS

*Number of meetings held: 4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	27/05/2021	10	10	100
2	12/08/2021	10	10	100
3	11/11/2021	10	10	100
4	10/02/2022	10	10	100

C. COMMITTEE MEETINGS

Number of meetings held: 24

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committ	27/05/2021	4	4	100
2	Nomination Re	27/05/2021	3	3	100
3	Audit Committ	12/08/2021	4	4	100
4	Corporate Soc	12/08/2021	3	3	100
5	Audit Committ	11/11/2021	4	4	100
6	Nomination Re	11/11/2021	3	3	100
7	Corporate Soc	11/11/2021	3	3	100
8	Audit Committ	10/02/2022	4	4	100
9	Corporate Soc	10/02/2022	3	3	100
10	Independent D	10/02/2022	5	5	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	(Y/N/NA)
1	F.D. NETERW	4	4	100	21	21	100	
2	R.B. MEHTA	4	4	100	16	4	25	
3	H.R.PRASAD	4	4	100	10	10	100	
4	P.F.NETERW.	4	4	100	0	0	0	
5	M.K.FONDEK.	4	4	100	1	1	100	
6	A.F.NETERW.	4	4	100	17	17	100	
7	F.K.BANATW.	4	4	100	7	7	100	
8	JIMMY PARAI	4	4	100	19	9	47.37	
9	K.K.TAMHANI	4	4	100	0	0	0	
10	MANMOHAN	4	4	100	4	4	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered: 1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	K.K.TAMHANEY	Executive Direct	7,315,395	0	0	0	7,315,395
	Total		7,315,395	0	0	0	7,315,395

Number of CEO, CFO and Company secretary whose remuneration details to be entered: 3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	B. A. SHAH	Company Secre	2,920,326	0	0	0	2,920,326
2	J.D.DIVEKAR	CFO	5,022,217	0	0	0	5,022,217
3	ACHINTYA CHAND	COO & Manage	5,276,385	0	0	0	5,276,385
	Total		13,218,928	0	0	0	13,218,928

Number of other directors whose remuneration details to be entered: 10

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	H. R. Prasad	INDEPENDENT	0	0	0	460,000	460,000
2	F. K. Banatwalla	INDEPENDENT	0	0	0	430,000	430,000
3	J. J. Parakh	INDEPENDENT	0	0	0	410,000	410,000
4	M. K. Fondekar	INDEPENDENT	0	0	0	210,000	210,000
5	M. K. Mahajan	INDEPENDENT	0	0	0	240,000	240,000
6	F.D. Neterwala	Non - Executive	0	0	0	430,000	430,000
7	A. F. Neterwala	Non - Executive	0	0	0	230,000	230,000
8	R. B. Mehta	Non - Executive	0	0	0	220,000	220,000
9	P. F. Neterwala	Non - Executive	0	0	0	200,000	200,000
10	K.K.TAMHANEY	Non-Executive D	0	0	0	150,000	150,000
	Total		0	0	0	2,980,000	2,980,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ◉ Yes ○ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

○ Yes ◉ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name: PRASEN NAITHANI

Whether associate or fellow: ○ Associate ◉ Fellow

Certificate of practice number: 3389

I/We certify that:
(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. 27 dated 29/06/2020 (DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been compiled with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director: 00008332

To be digitally signed by

○ Company Secretary

◉ Company secretary in practice

Membership number: 3830 Certificate of practice number: 3389

Attachments

List of attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

Committee Meetings Stakeholders Relatio
DRAFT UNI-ABEX MGT 8 2021-22.pdf
Share Transfer Data.pdf

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Modify | Check Form | Prescrutiny | Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Annexure

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

C. Committee Meetings

Sr. No.	Type of Meeting	Date of Meeting	Total number of Members as on the Date at the Meeting	Attendance	
				Number of Members attendance	% of attendance
11	Stakeholders Relationship Committee	01/04/2021	4	2	50.00
12	Stakeholders Relationship Committee	16/04/2021	4	2	50.00
13	Stakeholders Relationship Committee	25/06/2021	4	2	50.00
14	Stakeholders Relationship Committee	20/07/2021	4	2	50.00
15	Stakeholders Relationship Committee	12/08/2021	4	4	100.00
16	Stakeholders Relationship Committee	25/08/2021	4	3	75.00
17	Stakeholders Relationship Committee	29/09/2021	4	2	50.00
18	Stakeholders Relationship Committee	11/10/2021	4	2	50.00
19	Stakeholders Relationship Committee	11/11/2021	4	4	100.00
20	Stakeholders Relationship Committee	03/12/2021	4	2	50.00
21	Stakeholders Relationship Committee	21/12/2021	4	3	75.00
22	Stakeholders Relationship Committee	04/02/2022	4	2	50.00
23	Stakeholders Relationship Committee	10/02/2022	4	2	50.00
24	Stakeholders Relationship Committee	08/03/2022	4	2	50.00

Date of Registration of Transfer (DD/MM/YY)	Type of Transfer 1 - Equity 2 - Preference 3 - Debentures 4 - Stock	No. of Shares/ Debentures/ Units Transferred	Amount Per Share/ Debenture/ Unit (in Rs.)	Ledger Folio of Transferor	Transferor's Name			Ledger Folio of Transferee	Transferee's Name		
					Surname	Middle Name	First Name		Surname	Middle Name	First Name
05/04/21	1	45	10	H000539	HIRALAL MULJI PATEL	X	X	M002821	MEENA HIRALAL PATEL	X	X
16/04/21	1	20	10	V000850	VIPINCHANDRA NATHALA	X	X	G001172	GRIMANT VIPINCHANDRA	X	X
16/04/21	1	25	10	V001367	VIPINCHANDRA NATHALA	X	X	G001172	GRIMANT VIPINCHANDRA	X	X
27/04/21	1	45	10	A004692	ASHISH PURUSHOTTAM P	X	X	N333333	NATIONAL SECURITIES	X	X
30/04/21	1	52	10	H000613	HUSAINI MOHAMMEDBHAI	X	X	N333333	NATIONAL SECURITIES	X	X
04/05/21	1	50	10	K001886	KANTILAL CHHOTALAL S	X	X	N333333	NATIONAL SECURITIES	X	X
19/05/21	1	45	10	M002821	MEENA HIRALAL PATEL	X	X	C999999	CENTRAL DEPOSITORY S	X	X
01/06/21	1	25	10	A004687	AMIT KUNDANLAL SHAH	X	X	N333333	NATIONAL SECURITIES	X	X
18/06/21	1	45	10	G001172	GRIMANT VIPINCHANDRA	X	X	N333333	NATIONAL SECURITIES	X	X
25/06/21	1	25	10	S004055	SANGHI CORPORATE SER	X	X	N333333	NATIONAL SECURITIES	X	X
13/07/21	1	45	10	M002793	MAHENDRAKUMAR RATILA	X	X	N333333	NATIONAL SECURITIES	X	X
16/07/21	1	52	10	K002035	KOMBALAM DEVARAJAN	X	X	V001579	VIJAYALAKSHMI VASUDE	X	X
16/07/21	1	2	10	P002310	PRAVINA KOTADIA	X	X	C999999	CENTRAL DEPOSITORY S	X	X
23/07/21	1	45	10	S000608	SAVITRI DEVI	X	X	N333333	NATIONAL SECURITIES	X	X
27/07/21	1	27	10	P000707	PRAVIN V SHAH	X	X	S004874	SUNDEEP PRAVINCHANDR	X	X
30/07/21	1	25	10	S000007	S BALASUBRAMANYAN	X	X	N333333	NATIONAL SECURITIES	X	X
30/07/21	1	1	10	A004551	ANIL KUMAR MOOKIM	X	X	N333333	NATIONAL SECURITIES	X	X
30/07/21	1	25	10	P001996	PUSHPSEN CHUNIBHAI J	X	X	N333333	NATIONAL SECURITIES	X	X
10/08/21	1	45	10	A004694	ABDUL SAMAD YUSUF AG	X	X	C999999	CENTRAL DEPOSITORY S	X	X
10/08/21	1	15	10	B001263	BHOGILAL ZAVERCHAND	X	X	N333333	NATIONAL SECURITIES	X	X
20/08/21	1	52	10	S003590	SUNIL MURLIDHAR HEND	X	X	S004875	SUNIL MURLIDHAR HEND	X	X
24/08/21	1	50	10	J000972	JAYENDRAKUMAR CHIMAN	X	X	S004876	SANJAY JAYENDRAKUMAR	X	X
25/08/21	1	25	10	S003224	SAVITRI CHAMPAKLAL S	X	X	P002311	PIYUSH CHAMPAKLAL SH	X	X
09/09/21	1	27	10	S004874	SUNDEEP PRAVINCHANDR	X	X	C999999	CENTRAL DEPOSITORY S	X	X
15/09/21	1	52	10	S004691	SAUMIL RAJENDRA SHAH	X	X	C999999	CENTRAL DEPOSITORY S	X	X
17/09/21	1	52	10	S001886	SUNANDA SUDHIR SHAH	X	X	N333333	NATIONAL SECURITIES	X	X
21/09/21	1	50	10	N001284	NEELA SURENDRA SHAH	X	X	N333333	NATIONAL SECURITIES	X	X
21/09/21	1	52	10	S004875	SUNIL MURLIDHAR HEND	X	X	N333333	NATIONAL SECURITIES	X	X
24/09/21	1	2	10	A004685	AVANIBEN AJAYKUMAR S	X	X	N333333	NATIONAL SECURITIES	X	X
28/09/21	1	45	10	B000125	BAKHTAVER KAIKOBAD T	X	X	Z000087	ZUBIN PHIROZ ZAVERI	X	X
29/09/21	1	50	10	S004876	SANJAY JAYENDRAKUMAR	X	X	C999999	CENTRAL DEPOSITORY S	X	X
04/10/21	1	45	10	E000026	ERACH ARDESHIR LASHK	X	X	P002312	PHIROZE ERACH LASHKA	X	X
06/10/21	1	52	10	A001203	AMIRALI HUSEINBHAI G	X	X	A004695	ANIS AMIRALI HUDDA	X	X
08/10/21	1	50	10	K001514	KEKI NANABHOY SHROFF	X	X	D001385	DARIUS RUSTOM SHROFF	X	X
08/10/21	1	25	10	P002311	PIYUSH CHAMPAKLAL SH	X	X	C999999	CENTRAL DEPOSITORY S	X	X
20/10/21	1	25	10	R002803	RAJANI DEVI CHANDAK	X	X	N333333	NATIONAL SECURITIES	X	X
22/10/21	1	25	10	Y000056	YOGINDER PAL VOHRA	X	X	C999999	CENTRAL DEPOSITORY S	X	X
22/10/21	1	45	10	Z000087	ZUBIN PHIROZ ZAVERI	X	X	N333333	NATIONAL SECURITIES	X	X
22/10/21	1	300	10	P001994	PRATAP R KHAKHARIA	X	X	N333333	NATIONAL SECURITIES	X	X
22/10/21	1	103	10	S002570	SAM FURDUNJI BHATHEN	X	X	R002825	ROHINTON PESI BHATHE	X	X
26/10/21	1	52	10	S004042	S VENKATACHALAM	X	X	N333333	NATIONAL SECURITIES	X	X

Date of Registration of Transfer (DD/MM/YY)	Type of Transfer 1 - Equity 2 - Preference 3 - Debentures 4 - Stock	No. of Shares/ Debentures/ Units Transferred	Amount Per Share/ Debenture/ Unit (in Rs.)	Ledger Folio of Transferor	Transferor's Name			Ledger Folio of Transferee	Transferee's Name		
					Surname	Middle Name	First Name		Surname	Middle Name	First Name
01/11/21	1	500	10	H001117	HEMLATA SHRIKANT PET	X	X	C999999	CENTRAL DEPOSITORY S	X	X
12/11/21	1	1	10	M001962	MAHESH K MEHTA	X	X	C999999	CENTRAL DEPOSITORY S	X	X
15/11/21	1	50	10	D001385	DARIUS RUSTOM SHROFF	X	X	C999999	CENTRAL DEPOSITORY S	X	X
15/11/21	1	25	10	V001435	VIRMATIBEN DHIRAJLAL	X	X	D001386	DAKSHESH DHIRAJLAL G	X	X
23/11/21	1	45	10	G000192	GIRIDHARI J CHHABRIA	X	X	D001387	DROPADI G	X	X
30/11/21	1	45	10	G000471	GUNVANTI DHIRAJLAL P	X	X	M002822	MUKESH DHIRAJLAL PAT	X	X
06/12/21	1	45	10	P002312	PHIROZE ERACH LASHKA	X	X	N333333	NATIONAL SECURITIES	X	X
07/12/21	1	52	10	V000356	VIJAY SAIGAL	X	X	N333333	NATIONAL SECURITIES	X	X
07/12/21	1	25	10	S004809	SATISH CHAND MAHAJAN	X	X	N333333	NATIONAL SECURITIES	X	X
13/12/21	1	4	10	S004388	SADHANA GADIA	X	X	N333333	NATIONAL SECURITIES	X	X
16/12/21	1	25	10	A004666	ANILKUMAR BHAGVANJI	X	X	C999999	CENTRAL DEPOSITORY S	X	X
21/12/21	1	25	10	S001076	SHASHIKANT GULABDAS	X	X	B001832	BHARAT SHASHIKANT JH	X	X
22/12/21	1	25	10	S002007	SUREKHA SHASHIKANT J	X	X	B001832	BHARAT SHASHIKANT JH	X	X
30/12/21	1	50	10	V001556	VIDYA SHREEDHAR KULK	X	X	N333333	NATIONAL SECURITIES	X	X
12/01/22	1	45	10	J000469	JAYESH HIMATLAL SHAH	X	X	N333333	NATIONAL SECURITIES	X	X
18/01/22	1	25	10	M001973	MOHD MIYA CONTRACTOR	X	X	C999999	CENTRAL DEPOSITORY S	X	X
18/01/22	1	75	10	M001973	MOHD MIYA CONTRACTOR	X	X	C999999	CENTRAL DEPOSITORY S	X	X
18/01/22	1	200	10	K001750	KULDEEP KOCHAR	X	X	N333333	NATIONAL SECURITIES	X	X
19/01/22	1	50	10	S004237	SUSHMA VERMA	X	X	C999999	CENTRAL DEPOSITORY S	X	X
21/01/22	1	77	10	K002197	KANTA JAIN	X	X	C999999	CENTRAL DEPOSITORY S	X	X
25/01/22	1	52	10	V001579	VIJAYALAKSHMI VASUDE	X	X	C999999	CENTRAL DEPOSITORY S	X	X
28/01/22	1	52	10	G000287	GOOL FRAMROJ KAKALIA	X	X	C999999	CENTRAL DEPOSITORY S	X	X
03/02/22	1	45	10	D001387	DROPADI G	X	X	N333333	NATIONAL SECURITIES	X	X
10/02/22	1	25	10	K001631	KATAPADI PURUSHOTHAM	X	X	K002481	K VIVEKANANDA SHENOY	X	X
10/02/22	1	45	10	M002822	MUKESH DHIRAJLAL PAT	X	X	N333333	NATIONAL SECURITIES	X	X
22/02/22	1	45	10	C000160	CHANDRA MOHAN GARG	X	X	N333333	NATIONAL SECURITIES	X	X
28/02/22	1	45	10	S000298	SARASWATHI ESWARAN	X	X	C999999	CENTRAL DEPOSITORY S	X	X
28/02/22	1	2	10	V001458	V K SINGHANIA	X	X	C999999	CENTRAL DEPOSITORY S	X	X
08/03/22	1	52	10	M001685	MILIND SHASHIKANT WA	X	X	M002823	MILIND SHASHIKANT WA	X	X
15/03/22	1	103	10	R002825	ROHINTON PESI BHATHE	X	X	N333333	NATIONAL SECURITIES	X	X
15/03/22	1	52	10	B001831	BHARAT PRANLAL PAREK	X	X	N333333	NATIONAL SECURITIES	X	X
17/03/22	1	50	10	B001832	BHARAT SHASHIKANT JH	X	X	C999999	CENTRAL DEPOSITORY S	X	X
29/03/22	1	50	10	L000369	LAXMIBAI DEVADAS NAG	X	X	U000509	UDAY B NAGARKATTI	X	X

P. NAITHANI & ASSOCIATES

Company Secretaries

902, B Wing, Venus Tower, Veera Desai Road, Andheri (W), Mumbai - 400 053.
Mobile: +91 8779458982 +91 98204 00325| **Email:** cs@careerimpact.in

DRAFT

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Uni Abex Alloy Products Limited** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on **31st March, 2022**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. Its status under the Act.

2. Maintenance of registers / records & making entries therein within the time prescribed thereof.

3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time, except in one case delay in filing form with ROC.

4. Calling / convening / holding meetings of Board of Directors or its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/ registers maintained for the purpose and the same have been signed.

5. Closure of Register of Members.

6. There were no advances / loans to its directors and / or persons or firms or companies referred in section 185 of the Act.

7. Contracts / arrangements with related parties as specified in section 188 of the Act are at arm's length pricing basis and in compliance with the provisions of Act.

8. The Company has not allotted or transferred or transmitted or bought back any securities / altered or reduced share capital / converted shares / securities / issued share

certificates in any instances.

9. The Company has not kept in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.

10. Declaration / payment of dividend; transfer of unpaid / unclaimed dividend / other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act**.**

11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof.

12. There were no instances of constitution / appointment / re-appointments / retirement / filling up casual vacancies / disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them during the year, except the following:

Sr. No.	**Name of Director / KMP**	**Designation**	**Appointed w.e.f**	**Re-Appointed w.e.f**
1	Mr. Kishore Krishna Tamhaney*	Non-Executive Director	31/05/2021	-
2	Mr. Achintya Chandra	Chief Operating Officer & Manager	11/11/2021	-
3	Mr. Anosh Neterwala	Non-Executive Director	-	21/09/2021
4	Mr. Rustom Burjor Mehta	Non-Executive Director	-	21/09/2021
5	Mr. Jimmy Jehangir Parakh#	Non-Executive Director	-	10/02/2022

*During the year under review, Mr. Tamhaney ceased to the Executive Director & CEO of the Company and Key Managerial Personnel w.e.f. the closing hours of 31st May, 2021 but continued as the Non-Executive Director on the Board of the Company

#During the year under review, Mr. Jimmy Jehangir Parakh was re-appointed as Independent Director for a second term.

13. Appointment of auditor is as per the provisions of section 139 of the Act.

14. No approvals were required to be taken from Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the provisions of the Act during the period under the review.

15. The Company has not accepted / renewed any of deposits.

16. The Company has taken loans or borrowings from Banks and has not issued any debentures during the year under review.

17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons are in compliance with the provisions of Section 186 the Act during the year under review.

18. The Company has not altered the provisions of the Memorandum and / or Articles of Association of the Company.

Place: Mumbai
Date:
UDIN:

Signature:
Name of PCS:
C.P. No. :
PR. No.: 1131/2021