

BSE LTD
ACKNOWLEDGEMENT

Acknowledgement No	: 0307201902470227	Date & Time	: 03/07/2019 02:47:02 PM
Scrip Code	: 504605		
Entity Name	: UNI ABEX ALLOY PRODUCTS LIMITED		
Compliance Type	: Regulation 27(2)- Corporate Governance		
Quarter / Period	: 30/06/2019		
Mode	: E-Filing		

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General information about company

Scrip code	504605
NSE Symbol	
MSEI Symbol	
ISIN	INE361D01012
Name of the entity	UNI ABEX ALLOY PRODUCTS LIMITED
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Quarterly
Date of Report	30-06-2019
Risk management committee	Not Applicable

Enter the quarter
ended date only

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Annexure I															
Annexure I to be submitted by listed entity on quarterly basis															
I. Composition of Board of Directors															
Disclosure of notes on composition of board of directors explanatory															
Whether the listed entity has a Regular Chairperson															
Yes															
Sr	Title (Mr./Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr.	FEROZE NETERWALA	AAAPN7274P	00008112	Non-Executive - Non Independent Director	Chairperson		25-09-1987			1	1	1		
2	Mr.	RUSTOM MEHTA	ACLPW6809J	00057270	Non-Executive - Non Independent Director	Not Applicable		25-09-1987			1	1	0		
3	Mr.	ANOSH NETERWALA	AELPN7249A	01418744	Non-Executive - Non Independent Director	Not Applicable		07-08-2013			1	1	0		
4	Mr.	HANUAMANTHU R PRASAD	AAIDH6668M	00133953	Non-Executive - Independent Director	Not Applicable		29-06-2001		60	1	1	0		
5	Mr.	MOHAN KRISHNA FONDEKAR	AAAP77996A	01089589	Non-Executive - Non Independent Director	Not Applicable		20-08-2015			1	0	0		
6	Mr.	PAIKHI BANATWALLA	AEPBS114M	02720802	Non-Executive - Independent Director	Not Applicable		29-05-2012		60	3	0	3		
7	Mr.	JIMMY PARAKH	AEPPT744DC	00049455	Non-Executive - Independent Director	Not Applicable		10-02-2017		60	1	2	0		
8	Mr.	PHIROSA FEROZE NETERWALA	AAAPWS533E	01081117	Non-Executive - Non Independent Director	Not Applicable		20-08-2014			1	0	0		



For this annual report note the following points:

1. Date of Appointment and Date of Cessation, if applicable, must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto March 31, 2019.
3. Date of Cessation must be for the current financial year, i.e. January 1, 2019 to March 31, 2019.

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson					Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	02670802	FAROKH BANATWALLA	Non-Executive - Independent Director	Chairperson	10-08-2012			
2	00133853	HANUMANUTHU R PRASAD	Non-Executive - Independent Director	Member	24-07-2001			
3	00008332	FEROZE NETERWALA	Non-Executive - Non Independent Director	Member	28-06-2005			
4	00004945	JIMMY PARAKH	Non-Executive - Independent Director	Member	10-02-2017			
5								
6								
7								
8								
9								
10								

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson					Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	00133853	HANUMANUTHU R PRASAD	Non-Executive - Independent Director	Chairperson	29-05-2017			
2	02670802	FAROKH BANATWALLA	Non-Executive - Independent Director	Member	10-02-2017			
3	00057570	RUSTOM MEHTA	Non-Executive - Non Independent Director	Member	30-09-2009			
4								
5								
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7								
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Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	



1	00008332	FEROZE NETERWALA	Non-Executive - Non Independent Director	Chairperson	25-09-1987	
2	00057570	RUSTOM MEHTA	Non-Executive - Non Independent Director	Member	25-09-1987	
3	01418744	ANOSH NETERWALA	Non-Executive - Non Independent Director	Member	23-08-2013	
4	00004945	JIMMY PARAKH	Non-Executive - Independent Director	Member	13-11-2018	
5						
6						
7						
8						
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10						

Risk Management Committee						
Whether the Risk Management Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

Corporate Social Responsibility Committee						
Whether the Corporate Social Responsibility Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	00008332	FEROZE NETERWALA	Non-Executive - Non Independent Director	Chairperson	13-11-2014	
2	00133853	HANUMANATHU R PRASAD	Non-Executive - Independent Director	Member	13-11-2014	
3	01418744	ANOSH NETERWALA	Non-Executive - Non Independent Director	Member	13-11-2014	
4						
5						
6						
7						
8						
9						
10						





Other Committee					
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors
1	121313	121313	121313	121313	121313
2	121313	121313	121313	121313	121313
3	121313	121313	121313	121313	121313
4	121313	121313	121313	121313	121313
5	121313	121313	121313	121313	121313
6	121313	121313	121313	121313	121313
7	121313	121313	121313	121313	121313
8	121313	121313	121313	121313	121313
9	121313	121313	121313	121313	121313
10	121313	121313	121313	121313	121313

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explanatory[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date
Add Delete			
1	11-02-2019		
2	28-05-2019	105	

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IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Additional Notes		Reason for not providing date
						Maximum gap between any two consecutive meetings (in number of days)	Name of other committee	
1	Audit Committee	28-05-2019	Yes		11-02-2019	105		137
2	Nomination and remuneration committee	28-05-2019	Yes					
3	Stakeholders Relationship Committee	02-04-2019	Yes		11-02-2019	49		
4	Stakeholders Relationship Committee	10-04-2019	Yes		18-02-2019	50		
5	Stakeholders Relationship Committee	02-05-2019	Yes		01-03-2019	61		
6	Stakeholders Relationship Committee	10-05-2019	Yes		08-03-2019	62		
7	Stakeholders Relationship Committee	21-06-2019	Yes		23-03-2019	89		
8	Other Committee	28-05-2019	Yes			369	INDEPENDENT DIRECTORS	



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V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

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Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	M. S. ASHAR
2	Designation	Company Secretary and Compliance Officer



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Name of signatory

M. S. ASHAR

Designation of person

Company Secretary and Compliance Officer

Place

MUMBAI

Date

01-07-2019

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