

Statement showing Shareholding Pattern

Name of the Company: **Uni Abex Alloy Products Limited**
 Scrip Code, Name of the scrip, class of security: **504605, Uni Abex Alloy Products Limited, Equity**
 Quarter ended: **30th September 2015**

Partly paid-up shares	Number of partly paid-up shares	As a Percentage of total number of partly paid-up shares	As a Percentage of total number of shares of the company
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
Total	0	0.00%	0.00%
Outstanding convertible securities	Number of outstanding securities	As a Percentage of total number of outstanding convertible securities	As a Percentage of total number of shares of the company, assuming full conversion of the convertible securities
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
Total	0	0.00%	0.00%
Warrants	Number of Warrants	As a Percentage of total number of warrants	As a Percentage of total number of shares of the company, assuming full conversion of warrants
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
Total	0	0.00%	0.00%
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities *	1975000		

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of Shares	As a Percentage
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	4	8057	8057	0.41%	0.41%	0	0.00%
(b)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00%
(c)	Bodies Corporate	3	1243300	1243300	62.95%	62.95%	0	0.00%
(d)	Financial Institutions/ Banks	0	0	0	0.00%	0.00%	0	0.00%
(e)	Any Other (specify)	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (A)(1)	7	1251357	1251357	63.36%	63.36%	0	0.00%
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00%	0.00%	0	0.00%
(b)	Bodies Corporate	0	0	0	0.00%	0.00%	0	0.00%
(c)	Institutions	0	0	0	0.00%	0.00%	0	0.00%
(d)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(e)	Any Other (specify)	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (A)(2)	0	0	0	0.00%	0.00%	0	0.00%
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	7	1251357	1251357	63.36%	63.36%	0	0.00%
(B)	Public shareholding³							
(1)	Institutions							
(a)	Mutual Funds / UTI	1	100	0	0.01%	0.01%	0	0.00%
(b)	Financial Institutions/ Banks	5	506	0	0.03%	0.03%	0	0.00%
(c)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00%
(d)	Venture Capital Funds	0	0	0	0.00%	0.00%	0	0.00%
(e)	Insurance Companies	0	0	0	0.00%	0.00%	0	0.00%
(f)	Foreign Institutional Investors	0	0	0	0.00%	0.00%	0	0.00%
(g)	Foreign Venture Capital Investors	0	0	0	0.00%	0.00%	0	0.00%
(h)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(i)	OCB	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (B)(1)	6	606	0	0.03%	0.03%	0	0.00%
(2)	Non-institutions							
(a)	Bodies Corporate	65	31992	30028	1.62%	1.62%	0	0.00%
(b)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 1 lakh. ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	6907	533581	309909	27.02%	27.02%	0	0.00%
		8	155585	155585	7.88%	7.88%	0	0.00%
(c)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(d)	Any Other (NRI)	24	1879	1879	0.10%	0.10%	0	0.00%
	Sub-Total (B)(2)	7004	723037	497401	36.61%	36.61%	0	0.00%
	Total Public Shareholding (B) = (B)(1)+(B)(2)	7010	723643	497401	36.64%	36.64%	0	0.00%
	TOTAL (A)+(B)	7017	1975000	1748758	100.00%	100.00%	0	0.00%
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00%	0.00%	0	0.00%
	GRAND TOTAL (A)+(B)+(C)	7017	1975000	1748758	100.00%	100.00%	0	0.00%



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(1)(b)

Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (1)(a) above)	Number of shares pledged or otherwise encumbered	As a Percentage	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % total number of Warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	CHEMICALS AND FERRO ALLOYS PVT. LTD.	155800	7.89%	0	0.00%	0.00%	0	0.00%	0	0.00%	155800
2	DHUNISHAW MANECKJI NETERWALA	125	0.01%	0	0.00%	0.00%	0	0.00%	0	0.00%	125
3	FEROZE DHUNISHAW NETERWALA	4802	0.24%	0	0.00%	0.00%	0	0.00%	0	0.00%	4802
4	FIROZE ADI VAKIL	530	0.03%	0	0.00%	0.00%	0	0.00%	0	0.00%	530
5	RUSTOM BURJOR MEHTA	2600	0.13%	0	0.00%	0.00%	0	0.00%	0	0.00%	2600
6	UNITEL FINANCE & INVESTMENTS PVT LTD	817500	41.39%	0	0.00%	0.00%	0	0.00%	0	0.00%	817500
7	UNIVERSAL FERRO AND ALLIED CHEMICALS LTD	270000	13.67%	0	0.00%	0.00%	0	0.00%	0	0.00%	270000
TOTAL		1251357	63.36%	0	0.00%	0.00%	0	0.00%	0	0.00%	1251357

(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % total number of Warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	BRANU SATISHCHANDRA DOSHI	22743	1.15%	0	0.00%	0	0.00%	22743
2	DHIREN SHEVANTILAL SHAH	30000	1.52%	0	0.00%	0	0.00%	30000
3	DIPTI D SHAH	25250	1.28%	0	0.00%	0	0.00%	25250
4	HITESH SATISHCHANDRA DOSHI	24686	1.25%	0	0.00%	0	0.00%	24686
TOTAL		102679	5.20%	0	0.00%	0	0.00%	102679

(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company.

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % total number of Warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1 -		0	0.00%	0	0.00%	0	0.00%	0
TOTAL		0	0.00%	0	0.00%	0	0.00%	0



(I)(d)

Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Category of Shareholders (Promoter / Public)	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
TOTAL		Nil	Nil	Nil

(II)(a)

Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
TOTAL		Nil	Nil	Nil

(II)(b)

Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter / promoter group are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
TOTAL			Nil	Nil

For Uni Abex Alloy Products Limited



Milind Ashar

Company Secretary & Compliance Officer

