

BSE LTD
ACKNOWLEDGEMENT

Acknowledgement No	: 0410201705504431	Date & Time	: 04/10/2017 05:50:44 PM
Scrip Code	: 504605		
Entity Name	: UNI ABEX ALLOY PRODUCTS LIMITED		
Compliance Type	: Regulation 31 - Shareholding pattern		
Quarter / Period	: 30/09/2017		
Mode	: E-Filing		



General information about company	
Scrip code	504605
Name of the company	UNI ABEX ALLOY PRODUCTS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)



Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	5	1251107			1251107	63.35	1251107		1251107	63.35
(B)	Public	6838	723893			723893	36.65	723893		723893	36.65
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	6843	1975000			1975000		1975000		1975000	100



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				63.35					1251107
(B)	Public				36.65					508327
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total									1759434



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg: Y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	7807			7807	0.4	7807		7807	0.4
(d)	Any Other (specify)	2	1243300			1243300	62.95	1243300		1243300	62.95
Sub-Total (A) (1)		5	1251107			1251107	63.35	1251107		1251107	63.35
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		5	1251107			1251107	63.35	1251107		1251107	63.35
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	100			100	0.01	100		100	0.01
(f)	Financial Institutions/ Banks	5	506			506	0.03	506		506	0.03
Sub-Total (B) (1)		6	606			606	0.03	606		606	0.03
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	6760	567572			567572	28.74	567572		567572	28.74
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	129027			129027	6.53	129027		129027	6.53
(e)	Any Other (specify)	67	26688			26688	1.35	26688		26688	1.35
Sub-Total (B) (3)		6832	723287			723287	36.62	723287		723287	36.62
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		6838	723893			723893	36.65	723893		723893	36.65
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		6843	1975000			1975000	100	1975000		1975000	100
Total (A+B+C)		6843	1975000			1975000		1975000		1975000	100



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.4					7807
(d)				62.95					1243300
Sub-Total (A) (1)				63.35					1251107
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				63.35					1251107
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.01					0
(f)				0.03					0
Sub-Total (B) (1)				0.03					0
(3)	Non-institutions								
(a(i))				28.74					354486
(a(ii))				6.53					129027
(e)				1.35					24814
Sub-Total (B) (3)				36.62					508327
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				36.65					508327
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					1759434
Total (A+B+C)									1759434



Individuals/Hindu undivided Family				
Searial No.	1	2	3	
Name of the Shareholders (I)	Feroze D Neterwala	Firoze Adi Vakil	Rustom Burjor Mehta	Click here to go back
PAN (II)	AAAPN7274P	AAAPV8980G	ACLPM6809J	Total
No. of fully paid up equity shares held (IV)	4927	280	2600	7807
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4927	280	2600	7807
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.25	0.01	0.13	0.4
Number of Voting Rights held in each class of securities (IX)				
Class eg:X	4927	280	2600	7807
Class eg:y				
Total	4927	280	2600	7807
Total as a % of Total Voting rights	0.25	0.01	0.13	0.4
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.25	0.01	0.13	0.4
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	4927	280	2600	7807
Reason for not providing PAN				



Reason for not providing PAN				
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Any Other (specify)			
Searial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Chemicals & Ferro Alloys Private Limited	Unitel Finance & Investments Private Limited	
PAN (II)	AAACC4508N	AAACU0769R	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	425800	817500	1243300
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	425800	817500	1243300
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	21.56	41.39	62.95
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	425800	817500	1243300
Class eg:y			
Total	425800	817500	1243300
Total as a % of Total Voting rights	21.56	41.39	62.95
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	21.56	41.39	62.95
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity	425800	817500	1243300



shares held in dematerialized form (XIV)			
Reason for not providing PAN			



Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Searial No.	1	2	3	4	5	
Name of the Shareholders (I)	Bhanu Satishchandra Doshi	Hitesh Satishchandra Doshi	Rajiv Garg	Dhiren Shevantilal Shah	Satishchandra Shantilal Doshi	Click here to go back
PAN (II)	AAEPD4931B	AACPD8612R	ADPPG1269B	AAEPS0922M	AACPD7600F	Total
No. of fully paid up equity shares held (IV)	28779	25318	26000	25000	23930	129027
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+(VI)	28779	25318	26000	25000	23930	129027
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.46	1.28	1.32	1.27	1.21	6.53
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	28779	25318	26000	25000	23930	129027
Class eg:y						
Total	28779	25318	26000	25000	23930	129027
Total as a % of Total Voting rights	1.46	1.28	1.32	1.27	1.21	6.53
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.46	1.28	1.32	1.27	1.21	6.53
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	28779	25318	26000	25000	23930	129027
Reason for not providing PAN						
Reason for not providing PAN						

