

General information about company	
Scrip code	504605
NSE Symbol	
MSEI Symbol	
ISIN	INE361D01012
Name of the company	UNI ABEX ALLOY PRODUCTS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	4	1250827			1250827	63.33	1250827		1250827	63.33
(B)	Public	4739	724173			724173	36.67	724173		724173	36.67
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	4743	1975000			1975000	100	1975000		1975000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				63.33					1250827
(B)	Public				36.67					604503
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					1855330

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	7527			7527	0.38	7527		7527	0.38
(d)	Any Other (specify)	2	1243300			1243300	62.95	1243300		1243300	62.95
Sub-Total (A) (1)		4	1250827			1250827	63.33	1250827		1250827	63.33
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		4	1250827			1250827	63.33	1250827		1250827	63.33
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	5	506			506	0.03	506		506	0.03
Sub-Total (B) (1)		5	506			506	0.03	506		506	0.03
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4678	491982			491982	24.91	491982		491982	24.91
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	110722			110722	5.61	110722		110722	5.61
(e)	Any Other (specify)	53	120963			120963	6.12	120963		120963	6.12
Sub-Total (B) (3)		4734	723667			723667	36.64	723667		723667	36.64
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		4739	724173			724173	36.67	724173		724173	36.67
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		4743	1975000			1975000	100	1975000		1975000	100
Total (A+B+C)		4743	1975000			1975000	100	1975000		1975000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.38					7527
(d)				62.95					1243300
Sub-Total (A) (1)				63.33					1250827
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				63.33					1250827
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.03					0
Sub-Total (B) (1)				0.03					0
(3)	Non-institutions								
(a(i))				24.91					374234
(a(ii))				5.61					110722
(e)				6.12					119547
Sub-Total (B) (3)				36.64					604503
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				36.67					604503
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					1855330
Total (A+B+C)				100					1855330

Individuals/Hindu undivided Family			
Serial No.	1	2	
Name of the Shareholders (I)	Feroze D Neterwala	Rustom Burjor Mehta	Click here to go back
PAN (II)	AAAPN7274P	ACLPM6809J	Total
No. of fully paid up equity shares held (IV)	4927	2600	7527
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4927	2600	7527
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.25	0.13	0.38
Number of Voting Rights held in each class of securities (IX)			
Class eg:X	4927	2600	7527
Class eg:y			
Total	4927	2600	7527
Total as a % of Total Voting rights	0.25	0.13	0.38
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.25	0.13	0.38
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			

As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	4927	2600	7527
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter	

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Chemicals & Ferro Alloys Private Limited	Unitel Finance & Investments Private Limited	
PAN (II)	AAACC4508N	AAACU0769R	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	425800	817500	1243300
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	425800	817500	1243300
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	21.56	41.39	62.95
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	425800	817500	1243300
Class eg:y			
Total	425800	817500	1243300
Total as a % of Total Voting rights	21.56	41.39	62.95
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	21.56	41.39	62.95
Number of Locked in shares (XII)			
No. (a)			
As a % of total			

Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	425800	817500	1243300
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.				
Serial No.	1	2	3	
Name of the Shareholders (I)	Bhanu Satishchandra Doshi	Hitesh Satishchandra Doshi	Rajiv Garg	Click here to go back
PAN (II)	AAEPD4931B	AACPD8612R	ADPPG1269B	Total
No. of fully paid up equity shares held (IV)	28779	49248	32695	110722
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	28779	49248	32695	110722
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.46	2.49	1.66	5.61
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	28779	49248	32695	110722
Class eg:y				
Total	28779	49248	32695	110722
Total as a % of Total Voting rights	1.46	2.49	1.66	5.61
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.46	2.49	1.66	5.61
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	28779	49248	32695	110722

Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	
Name of the Shareholders (I)	Investor Education & Protection Fund Authority Ministry of Corporate Affairs	Click here to go back
PAN (II)	ZZZZZ9999Z	Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	82122	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	82122	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.16	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	82122	0
Class eg:y		
Total	82122	0
Total as a % of Total Voting rights	4.16	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.16	0
Number of Locked in shares (XII)		
No. (a)		

As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	82122	0
Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	

Text Block	
Textual Information(1)	NO PAN NUMBER PROVIDED

Sr. No.	Details of the SBO	Details of the registered owner	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*	Date of creation / acquisition of significant beneficial interest	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
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