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General information about company

Scrip code	504605
NSE Symbol	
MSEI Symbol	
ISIN	INE361D01012
Name of the company	UNI ABEX ALLOY PRODUCTS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (Xi) + (X)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares			
								No of Voting (XIV) Rights								Shareholding (No. of shares) under								
								Class eg: X	Class eg:Y	Total	Total as a % of (A+B+C)								No. (a)		As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	Sub-category (i)
(A)	Promoter & Promoter Group		4	1256577		1256577	63.62	12,56,577.00		1256577.00	63.62													
(B)	Public		5235	718423		718423	36.38	718423.00		718423.00	36.38													
(C)	Non Promoter- Non Public																							
(C1)	Shares underlying Dts																							
(C2)	Shares held by Employee Trusts																							
	Total	5239	1975000			1975000	100	1975000.00		1975000.00	100.00				100						1863554	0	0	0

Sr.	Category & Name of the Shareholders (i)	Nos. Of shareholders (ii)	No. of fully paid up equity shares held (iii)	No. Of Partly paid-up equity shares held (iv)	No. Of shares underlying Depository Receipts (v)	Total nos. shares held (vi) = (iii)+(iv)+(v)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (vii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (viii)			Total as a % of Total Voting rights	No. Of Shares underlying Outstanding convertible securities (ix)	No. of Shares underlying Outstanding Warrants (xi)	No. Of Shares underlying Outstanding convertible securities and No. Of Warrants (x) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(x)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialised form (xiv)	Sub-categorisation of shares			
								No of Voting (DVR) Rights								Shareholding (No. of shares) under								
								Class eq- x	Class eq-y	Total						Sub-category (i)	Sub-category (ii)	Sub-category (iii)						
A Table II: Statement showing shareholding pattern of the Promoter and Promoter Group																								
Indian																								
(a)	Individuals (Direct and indirect family)	2	7927			7927	0.38	7927.00		7927	0.38				0.38						7927			
(b)	Central Government / State Government																							
(c)	Corporate Institutions / Banks																							
(d)	Any Other (specify)	2	1249050			1249050	63.24	1249050.00		1249050	63.24				63.24						1249050			
	Sub-Total (A)(1)	4	1256977			1256977	63.62	1256977.00		1256977	63.62				63.62						1256977			
Foreign																								
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)																							
(b)	Government																							
(c)	Corporations																							
(d)	Foreign Portfolio Investors																							
(e)	Any Other (specify)																							
	Sub-Total (A)(2)																							
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	4	1256977			1256977	63.62	1256977.00		1256977	63.62				63.62						1256977			
Details of Shares which remain unclaimed for Promoter & Promoter Group																								
B Table III: Statement showing shareholding pattern of the Public shareholder																								
Institutions (Domestic)																								
(a)	Mutual Funds	1	11000			11000	0.56	11000		11000	0.56				0.56						11000	0	0	0
(b)	Various Capital Funds																							
(c)	Alternative Investment Funds																							
(d)	Banks	0	506			506	0.03	506		506	0.03				0.03						0	0	0	0
(e)	Insurance Companies																							
(f)	Foreign Direct Investor / Foreign Funds																							
(g)	Asset Management Companies																							
(h)	Securities Wealth Funds																							
(i)	MFCCs registered with SEBI																							
(j)	Other Financial Institutions																							
(k)	Any Other (specify)																							
	Sub-Total (B)(1)	0	11506			11506	0.58	11506		11506	0.58				0.58						11506	0	0	0
Institutions (Foreign)																								
(a)	Foreign Direct Investments																							
(b)	Foreign Venture Capital Investors																							
(c)	Securities Wealth Funds																							
(d)	Foreign Portfolio Investors / Insurance Companies																							
(e)	Foreign Portfolio Investors / Insurance Companies																							
(f)	Foreign Investment Promoters (as defined in SEBI)																							
(g)	Any Other (specify)																							
	Sub-Total (B)(2)																							
Central Government / State Government(s)																								
(a)	Central Government / President of India																							
(b)	State Government / Government																							
(c)	Shareholding by Companies in India (Corporate wholly owned / State Government is a promoter)																							
	Sub-Total (B)(3)																							
Non-Institutions																								
(a)	Associate companies / Subsidiaries																							
(b)	Directors and their relatives (excluding independent directors and nominee directors)	2	215			215	0.01	215		215	0.01				0.01						215	0	0	0
(c)	Key Managerial Personnel																							
(d)	Relatives of promoters (other than "nominees", relatives of promoters disclosed under "Promoter and Promoter Group" category)																							
(e)	Trusts where any person belonging to "Promoter and Promoter Group" category is "Trustee", "Beneficiary" or "Settlor of the trust"																							
(f)	Investor Education and Protection Fund (IEPF)	1	80743			80743	4.09	80743		80743	4.09				4.09						80743	0	0	0
(g)	Resident individuals holding nominal share capital up to Rs. 2 lakhs	5017	188636			188636	9.55	188636		188636	9.55				9.55						188636	0	0	0
(h)	Resident individuals holding nominal share capital in excess of Rs. 2 lakhs	4	131530			131530	6.66	131530		131530	6.66				6.66						131530	0	0	0
(i)	Non Resident Indians (NRIs)	100	286069			286069	14.53	286069		286069	14.53				14.53						286069	0	0	0
(j)	Foreign Nationals																							
(k)	Foreign Companies																							
(l)	Bodies Corporate	40	18824			18824	0.95	18824		18824	0.95				0.95						17483	0	0	0
(m)	Any Other (specify)																							
	Sub-Total (B)(4)	5220	706917			706917	35.79	706917.00		706917	35.79				35.79						598977	0	0	0
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)	5220	718423			718423	36.38	718423		718423	36.38				36.38						609077	0	0	0
Details of the shareholders acting as persons in Control for Public																								
Details of Shares which remain unclaimed for Public																								
C Table IV: Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																								
(1)	Category (i) - Share of all categories of institutions																							
(2)	Category (ii) - Share of all categories of individuals																							
	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)																							
	Total (A+B+C)	5220	1975000			1975000	100.00	1975000.00		1975000	100.00				100.00						1863554	0	0	0
	Total (A+B+C)	5220	1975000			1975000	100.00	1975000.00		1975000	100.00				100.00						1863554	0	0	0

Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)
						No of Voting (XIV) Rights		Total as a % of Total Voting rights		
						Class eg:X	Total			
A1(a) Individuals/Hindu undivided Family										
AddDelete										
1	Feroze D Neterwala	AAAPN7274P	4927	4927	0.25	4927.00	4927.00	0.25	0.25	4927
2	Rustom Burjor Mehta	ACLPM6809J	2600	2600	0.13	2600.00	2600.00	0.13	0.13	2600
Click here to go back		Total	7527	7527	0.38	7527.00	7527.00	0.38	0.38	7527

Searial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	
								No of Voting (XIV) Rights		Total as a % of Total Voting rights			
								Class eg: X	Total				
A1(d)	Any Other (specify)												
AddDelete													
1	Bodies Corporate	Chemicals and Ferro Alloys Private Limited	AAACC4508N		1	431550	431550	21.85	431550.00	431550.00	21.85	21.85	431550
2	Bodies Corporate	Unitel Finance and Investments Private Limited	AAACU0769R		1	817500	817500	41.39	817500.00	817500.00	41.39	41.39	817500
Click here to go back				Total	2	1249050	1249050	63.24	1249050.00	1249050.00	63.24	63.24	1249050

Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)
						No of Voting (XIV) Rights		Total as a % of Total Voting rights		
						Class eg: X	Total			
B4(h)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.									
	Add	Delete	Disclosure of shareholder holding more than 1% of total number of shares							
1	Bhanu Satishchandra Doshi	AAEPD4931B	28779	28779	1.46	28779.00	28779.00	1.46	1.46	28779
2	Hitesh Satishchandra Doshi	AACPD8612R	49248	49248	2.49	49248.00	49248.00	2.49	2.49	49248
3	Ajay Kumar Toshniwal	ACVPT6454A	24815	24815	1.26	24815.00	24815.00	1.26	1.26	24815
4	Rajiv Garg	ADPPG1269B	28688	28688	1.45	28688.00	28688.00	1.45	1.45	28688
	Click here to go back	Total	131530	131530	6.66	131530.00	131530.00	6.66	6.66	131530

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
significant beneficial owners																
Add Delete																
1	FEROZE D NETERWALA	AAAPN7274P		India		Chemicals and Ferro Alloys	AAACC4508N		India		21.85			No	No	08-02-2019
2	FEROZE D NETERWALA	AAAPN7274P		India		Unit Finance and	AAACU0769R		India		41.39			No	No	08-02-2019

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	0.34
As on the end of previous 1st quarter	100.00	0.28
As on the end of previous 2nd quarter	100.00	0.18
As on the end of previous 3rd quarter	100.00	0.26
As on the end of previous 4th quarter	100.00	0.31

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.